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The Cross-Coupling Reaction of Aldehydes with α -Diketones by the Use of Bismuth Trichloride and Metallic Zinc

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In the presence of bismuth trichloride and metallic zinc, α -diketones react with aldehydes in THF under reflux to afford the corresponding α,β -dihydroxyketones in moderate to good yields. It was also found that the present reaction proceeds smoothly by using a catalytic amount of bismuth trichloride to give the corresponding adducts. The present reaction takes place even in aqueous media.

Reductive coupling reaction between α -diketones and carbonyl compounds is a useful method to construct 1,2,3-trioxy units. To our knowledge, however, there have been a few reports on this reaction so far, $^{1-6}$ and it is still important to find a more efficient method.

In the course of our continuous investigations on the organic synthetic reaction with metallic bismuth or bismuth compounds, 7 the reductive coupling reaction of α -diketones with aldehydes by the use of bismuth compounds was tried; so far the usable starting materials have been limited to allylic halides 7 or α -halo carbonyl compounds. 8 Now it was found that the reductive cross-coupling reaction of α -diketones with aldehydes proceeded smoothly .

First, the reaction of 2,3-butanedione (2a) with 3phenylpropanal (1a) was examined under various reaction conditions. Using bismuth trichloride (BiCl₃) and metallic zinc system,⁷ the α-diketone was reacted with the aldehyde in THF at room temperature for 24 h to afford the corresponding α,βdihydroxyketone 3a in low yield (28%, anti 3a: syn 3a = 42: 58). When the reaction was carried out under reflux condition for only 40 min, the yield increased in 85% with the ratio of anti $3a : syn \ 3a = 74 : 26 \ (Eq. 1)$. Metallic bismuth, BiCl₃-Fe(0), or BiCl₃-Al(0) system gave no good results and BiCl₃-Zn(0) was a superior system. It is noteworthy that only a catalytic amount of BiCl₃ was needed to effect the present reaction. The reaction of 2,3-butanedione (2a) with 3-phenylpropanal (1a) using a catalytic amount of BiCl₃ (0.3 equivalent to 2a) gave the corresponding adduct 3a in 57% yield (anti 3a: syn 3a = 61: 39) after stirring for 2 h. Noteworthy is the fact that no

 $BiCl_3$ 2.1 equiv. Zn 5.5 equiv.; 85 % yield (anti3a: syn3a = 74: 26) $BiCl_3$ 0.3 equiv. Zn 2.3 equiv.; 57 % yield (anti3a: syn3a = 61: 39) Each amount was mol equivalent to 2,3-butanedione (2a)

Table 1. Reaction of 2,3-butanedione with several aldehydes

Entry	Aldehyde	Yield/%	anti	:	syn ^a
1	PhCH ₂ CH ₂ CHO	85	74	:	26
2	PhCHO	63	68	:	32
3	$CH_3(CH_2)_7CHO$	61	68	:	32

The ratio of anti: syn was determined by ¹H NMR.

corresponding products were obtained in the absence of BiCl₃.

Next, the scope and limitation of this reaction was investigated using various $\alpha\text{-diketones}$ and several aldehydes. As shown in Table 1, aliphatic normal-chain aldehydes reacted with 2,3-butanedione (2a) to afford the corresponding adducts in good yields (Entries 1 and 3), while those with alkyl branches at the $\alpha\text{-position}$ gave poor yields. Benzaldehyde is usable to obtain the desired product (Entry 2). As shown in Table 2, not only dialkyl $\alpha\text{-diketones}$ but also $\alpha\text{-ketoester}$ such as methyl pyruvate reacted smoothly with 3-phenylpropanal (1a) to give the corresponding products in moderate to good yields. 9

Further investigation revealed that the present reaction proceeded smoothly even in the presence of a proton source such as water. As shown in Eq. 2, by using commercially available phenylglyoxal monohydrate ($2\mathbf{b}$), the reaction took place smoothly at room temperature to give the desired adduct (63% yield, anti $3\mathbf{b}$: $syn\ 3\mathbf{b} = 59$: 41). Furthermore, aqueous solution of methylglyoxal ($2\mathbf{c}$) reacted with 3-phenylpropanal ($1\mathbf{a}$) to afford the corresponding product in 46% yield with the ratio of anti $3\mathbf{c}$: $syn\ 3\mathbf{c} = 66$: 34 (Eq. 3).

Finally, it is noted that the present reaction takes place in aqueous media. Further application of the present reaction is now in progress.

A typical procedure is described as follows: Under an argon atmosphere, 2,3-butanedione (0.0488 g, 0.567 mmol) and 3-phenylpropanal (0.113 g, 0.843 mmol) was added to a THF (10 ml) suspension of bismuth trichloride (0.375 g, 1.19 mmol) and metallic zinc (0.203 g, 3.11 mmol) at room temperature. After stirring for 40 min under reflux, the reaction mixture was quenched by hydrochloric acid (1 M, 10 ml). Organic materials were extracted with diethyl ether three times,

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Ph CHO + R1
$$\frac{1}{1}$$
 $\frac{R^2}{1}$ $\frac{BiCl_3 \cdot Zn}{THF, reflux}$ $\frac{OH}{R^2}$ $\frac{OH}{R^1}$ $\frac{OH}{R^2}$ $\frac{OH}{R^2}$ $\frac{OH}{R^3}$ $\frac{OH$

Table 2. Reaction of 3-phenylpropanal with several α -diketones

Entry	α-Diketone	Yield/%	anti	:	syn ^a
1	Me Me	85	74	:	26
2	Et Et	63	85	:	15
3	Me Et	93 (71 : 29) ^c	74	:	26 ^b
4	Me OMe	54	67	:	33

- ^a The ratio was determined by ¹H NMR.
- b The ratio in the major isomer.
- The structure of major regioisomer: minor one was as follows:

and combined organic layers were washed successively with water and brine, and dried over Na_2SO_4 . After evaporation of the solvents, the residue was purified by thin layer chromatography on silica gel (hexane : AcOEt = 3:2) to give the diastereomeric mixture of 3,4-dihydroxy-4-methyl-1-phenyl-5-hexanone (0.107 g, 85.1% yield). The ratio of diasteromer was determined by 400 MHz 1 H-NMR spectra of the mixture of anti 3a and syn 3a. The each configuration was estimated following the method described in Refs. 5 and 6.

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References and Notes

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Ph CHO + Ph HH₂O
$$\xrightarrow{BiCl_3 \cdot Zn}$$
THF, r.t., 20 h

OH O
Ph OH OH
OH
OH
OH
OH
OH
Syn 3b

63% (anti : syn = 59 : 41)

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- 8 M. Wada, H. Ohki, and K. Akiba, unpublished results. Recently, Reformatsky-type reaction by means of BiCl₃-LiAlH₄ system was reported; Y. Aoki, K. Oshima, and K. Utimoto, 67th Annual Meeting of the Chemical Society of Japan, Tokyo, March 1994, Abstr. No. 4J207.
- In the similar cross-coupling reaction by the use of samarium diiodide, benzaldehyde is unusable as a substrate because benzaldehyde gives the self-coupling product predominantly rather than the cross-coupling product. See Ref. 5. Methyl pyruvate also gives the similar result by the use of SmI₂.